

**November 2021 Caseload Estimating Conference**

Questions for the Executive Office of Health and Human Services,  
the Department of Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals

**FY 2020-2021 Expenditures and FY 2022-2023 Projected Expenditures**

| Line # |  | 2020 Actuals   | 2021 Actuals   | % of FY2021 Actuals | Proposed Estimated Enacted | 2022 November Estimate |                | 2023 November Estimate |                |
|--------|--|----------------|----------------|---------------------|----------------------------|------------------------|----------------|------------------------|----------------|
|        |  |                |                |                     |                            | Distinct Individuals   | Expenditures   | Distinct Individuals   | Expenditures   |
| 9      | <b>Residential Habilitation and Supports</b>                   | \$ 141,791,018 | \$ 140,535,593 | 66.42%              | \$ 173,681,495             | 2,949                  | \$ 162,582,000 | 3,001                  | \$ 167,444,000 |
| 10     | Community Residence Supports                                   | \$ 91,192,758  | \$ 90,230,685  | 42.65%              | \$ 111,511,967             | 1,018                  | \$ 107,353,000 | 1,036                  | \$ 110,044,000 |
| 11     | Non Congregate Residential Supports                            | \$ 4,612,541   | \$ 3,324,554   | 1.57%               | \$ 4,108,664               | 57                     | \$ 4,706,000   | 58                     | \$ 5,409,000   |
| 12     | Shared Living Arrangement                                      | \$ 15,177,657  | \$ 15,041,115  | 7.11%               | \$ 18,588,624              | 391                    | \$ 14,930,000  | 397                    | \$ 15,318,000  |
| 13     | Community-Based Supports (standard)                            | \$ 26,401,337  | \$ 27,830,180  | 13.15%              | \$ 34,394,043              | 1,544                  | \$ 30,416,000  | 1,571                  | \$ 31,236,000  |
| 14     | Community-Based Support Prof Standard                          | \$ 346,917     | \$ 295,939     | 0.14%               | \$ 365,737                 | 121                    | \$ 324,000     | 123                    | \$ 326,000     |
| 15     | Natural Supports Training (standard)                           | \$ 168,062     | \$ 96,641      | 0.05%               | \$ 119,434                 | 44                     | \$ 96,000      | 45                     | \$ 138,000     |
| 16     | Respite Care   | \$ 3,004,543   | \$ 2,830,771   | 1.34%               | \$ 3,498,420               | 375                    | \$ 3,667,000   | 381                    | \$ 3,849,000   |
| 17     | Access to Overnight Shared Supports                            | \$ 887,203     | \$ 885,707     | 0.42%               | \$ 1,094,604               | 128                    | \$ 1,089,000   | 130                    | \$ 1,123,000   |
| 18     | <b>Day Program</b>   | \$ 54,671,587  | \$ 51,741,489  | 24.45%              | \$ 63,944,934              | 3,160                  | \$ 67,455,000  | 3,216                  | \$ 72,072,000  |
| 19     | Day Program (center based)                                     | \$ 11,917,925  | \$ 2,905,553   | 1.37%               | \$ 3,590,839               | 1,638                  | \$ 12,256,000  | 1,667                  | \$ 17,900,000  |
| 20     | Day Program (community based)                                  | \$ 37,300,471  | \$ 44,638,259  | 21.10%              | \$ 55,166,377              | 2,909                  | \$ 49,197,000  | 2,961                  | \$ 47,049,000  |
| 21     | Day Program (Home-Based)                                       | \$ 2,927,990   | \$ 1,868,737   | 0.88%               | \$ 2,309,486               | 176                    | \$ 3,399,000   | 179                    | \$ 4,270,000   |
| 22     | Professional Supports while at day program                     | \$ 2,525,201   | \$ 2,328,941   | 1.10%               | \$ 2,878,232               | 1,571                  | \$ 2,603,000   | 1,599                  | \$ 2,853,000   |
| 23     | <b>Employment</b>  | \$ 5,533,017   | \$ 3,861,327   | 1.82%               | \$ 4,772,037               | 1,064                  | \$ 5,732,000   | 1,083                  | \$ 6,930,000   |
| 24     | Job Assessment and Development                                 | \$ 2,708,546   | \$ 2,065,771   | 0.98%               | \$ 2,552,992               | 461                    | \$ 2,413,000   | 469                    | \$ 2,839,000   |
| 25     | Job Coaching   | \$ 1,820,141   | \$ 1,183,391   | 0.56%               | \$ 1,462,499               | 367                    | \$ 2,163,000   | 374                    | \$ 2,681,000   |
| 26     | Job Retention  | \$ 556,821     | \$ 453,869     | 0.21%               | \$ 560,916                 | 197                    | \$ 645,000     | 201                    | \$ 720,000     |
| 27     | Prevocational Training   | \$ 447,510     | \$ 158,296     | 0.07%               | \$ 195,630                 | 235                    | \$ 511,000     | 239                    | \$ 690,000     |
| 28     | PSCEPP Program - 3.0   |                | \$ 1,306,039   |                     | \$ 2,541,384               |                        | \$ 2,541,384   |                        | \$ 2,541,384   |
| 29     | <b>Transportation</b>  | \$ 7,127,545   | \$ 4,480,768   | 2.12%               | \$ 5,537,576               | 2,092                  | \$ 7,819,000   | 2,129                  | \$ 9,558,000   |
| 30     | Day Activity   | \$ 7,127,545   | \$ 4,480,768   | 2.12%               | \$ 5,537,576               | 2,092                  | \$ 7,819,000   | 2,129                  | \$ 9,558,000   |
| 31     | RIPTA Contract   | \$ 882,961     | \$ 243,781     |                     | \$ 1,000,000               |                        |                |                        |                |
| 32     | <b>Case Management and All Other Support Services</b>          | \$ 11,895,059  | \$ 10,962,858  | 5.18%               | \$ 13,548,494              | 3,651                  | \$ 11,857,000  | 3,715                  | \$ 12,646,000  |
| 33     | Assistive Technology   | \$ 98,813      | \$ 71,572      | 0.03%               | \$ 88,453                  | 7                      | \$ 133,000     | 7                      | \$ 134,000     |
| 34     | Support Coordination (participants w/FI/day agency combo)      |                |                |                     |                            |                        |                |                        |                |
| 35     | Support Coordination   | \$ 5,641,042   | \$ 5,178,537   | 2.45%               | \$ 6,399,917               | 3,072                  | \$ 5,507,000   | 3,126                  | \$ 5,854,000   |
| 36     | Support Facilitation   | \$ 1,690,217   | \$ 1,915,682   | 0.91%               | \$ 2,367,504               | 744                    | \$ 1,827,000   | 757                    | \$ 1,757,000   |
| 37     | Self Directed Goods & Services                                 | \$ 490,926     | \$ 565,919     | 0.27%               | \$ 699,393                 | 112                    | \$ 537,000     | 114                    | \$ 521,000     |
| 38     | Home Health  | \$ 3,974,061   | \$ 3,231,147   | 1.53%               | \$ 3,993,227               | 107                    | \$ 3,852,000   | 109                    | \$ 4,379,000   |
| 39     | <b>L9 Supplemental Funding</b>                                 | \$ 14,729,795  | \$ 15,807,055  |                     | \$ 15,242,089              | 571                    | \$ 15,668,000  | 581                    | \$ 15,544,000  |
| 40     | <b>Non Medicaid Funded</b>                                     |                |                |                     |                            |                        |                |                        |                |
| 41     | DD State Subsidies   | \$ 99,086      | \$ 194,022     |                     | \$ 100,000                 |                        | \$ 307,505     |                        | \$ 307,505     |
| 42     | 100% state funded - out-of-state placement                     |                |                |                     | \$ 2,000,000               |                        | \$ 2,000,000   |                        | \$ 2,000,000   |
| 43     | 100% state funded employment (not on community waiver)         |                |                |                     |                            |                        |                |                        |                |
| 44     | <b>Subtotals</b>   | \$ 235,748,021 | \$ 227,389,090 |                     | \$ 282,368,008             |                        | \$ 273,420,505 |                        | \$ 286,501,505 |
| 47     | <b>Additional budget considerations</b>                        |                |                |                     |                            |                        |                |                        |                |
| 48     | Enhanced FMAP (EOHHS)  |                |                |                     |                            |                        | \$ 28,000,000  |                        |                |
| 49     | Cost of Care Adjustment (Maintenance of Need effective 9/1/21) |                |                |                     |                            |                        | \$ 450,000     | \$ 500,000             |                |
| 50     | Shared Living Arrangement Offline Payments                     |                |                |                     |                            |                        | \$ 5,000,000   | \$ 5,000,000           |                |
| 51     | Transformation fund  |                |                |                     |                            |                        | \$ 12,000,000  |                        |                |
| 52     | Technology fund  |                |                |                     |                            |                        | \$ 2,000,000   |                        |                |
| 53     | <b>Grand Total</b>   | \$ 235,748,021 | \$ 227,389,090 |                     |                            |                        | \$ 306,870,505 | \$ 292,001,505         |                |

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Questions for the Executive Office of Health and Human Services,  
the Department of Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals

**Current Living Arrangement by Age Group**

| <b>Age</b> | <b>Jul-21</b> | <b>24-Hour Residential</b> | <b>SLA</b> | <b>Independent</b> | <b>With Family</b> |
|------------|---------------|----------------------------|------------|--------------------|--------------------|
| under 18   |               |                            |            |                    |                    |
| 18 to 20   | 19            |                            |            |                    | 15                 |
| 21 to 29   | 976           | 88                         | 52         | 43                 | 767                |
| 30 to 39   | 1006          | 177                        | 82         | 110                | 634                |
| 40 to 49   | 606           | 167                        | 87         | 92                 | 259                |
| 50 to 59   | 608           | 256                        | 82         | 140                | 129                |
| 60 to 69   | 497           | 240                        | 67         | 126                | 64                 |
| 70 to 79   | 220           | 132                        | 28         | 46                 | 14                 |
| 80 to 89   | 48            | 35                         | 3          | 10                 |                    |
| over 90    | 10            | 9                          |            | 1                  |                    |

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**RIPTA Actuals**

| May-20      | Jun-20      | 20-Jul      | Yearly total |
|-------------|-------------|-------------|--------------|
| \$47,094.98 | \$42,025.64 | \$41,862.38 | \$523,932.00 |

**Estimating FY 2022**

|         |           |           |
|---------|-----------|-----------|
| jul-sep |           | \$131,000 |
| oct-dec | \$55,000  | \$165,000 |
| jan-mar | \$78,000  | \$234,000 |
| apr-jun | \$106,000 | \$318,000 |
|         |           | \$848,000 |

**RIPTA Ride Information**

|                  | Average Trips per Month | % of Pre-COVID Trips |
|------------------|-------------------------|----------------------|
| FY18             | 12,631                  |                      |
| FY19             | 13,110                  |                      |
| FY20 pre covid   | 12,630                  |                      |
| FY20 post covid  | 1,372                   | 11%                  |
| FY21             | 3,117                   | 24%                  |
| FY22 1st quarter | 4,632                   | 36%                  |

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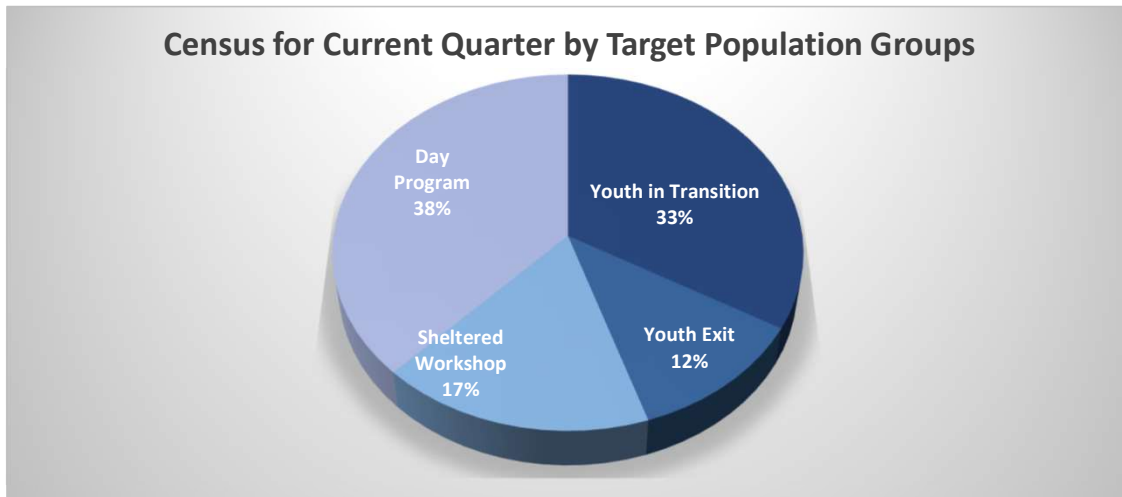
Questions for the Executive Office of Health and Human Services,  
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**FY 2022 Rate Sheet**

| Service Category                      | BHDDH Service Title  | Tier                                | HCPCS / Modifier | Billing Unit | BHDDH Rate as of October 1, 2019 | BHDDH Rate as of July 1, 2021 |
|---------------------------------------|--|-------------------------------------|------------------|--------------|----------------------------------|-------------------------------|
| Case Management                       | Support Coordination (participants with FI/day agency combo) | any                                 | T2022 L6         | per month    | \$56.73                          | \$56.73                       |
|                                       | Support Coordination   | A                                   | T2022 U5         | per month    | \$111.54                         | \$111.54                      |
|                                       | Support Coordination   | B                                   | T2022 U6         | per month    | \$134.34                         | \$134.34                      |
|                                       | Support Coordination   | C                                   | T2022 U7         | per month    | \$158.01                         | \$158.01                      |
|                                       | Support Coordination   | D                                   | T2022 UA         | per month    | \$226.92                         | \$226.92                      |
|                                       | Support Coordination   | E                                   | T2022 TG         | per month    | \$226.92                         | \$226.92                      |
|                                       | Support Facilitation   | A                                   | T2022 U5 U2      | per month    | \$49.20                          | \$49.20                       |
|                                       | Support Facilitation   | B                                   | T2022 U6 U2      | per month    | \$49.20                          | \$49.20                       |
|                                       | Support Facilitation   | C                                   | T2022 U7 U2      | per month    | \$98.41                          | \$98.41                       |
|                                       | Support Facilitation   | D                                   | T2022 UA U2      | per month    | \$197.88                         | \$197.88                      |
| Support Facilitation                  | E  | T2022 TG U2                         | per month        | \$197.88     | \$197.88                         |                               |
| Residential Habilitation              | Community Residence Supports                                 | A                                   | T2033 U5         | per diem     | \$129.83                         | \$152.02                      |
|                                       | Community Residence Supports                                 | B                                   | T2033 U6         | per diem     | \$129.83                         | \$152.02                      |
|                                       | Community Residence Supports                                 | C                                   | T2033 U7         | per diem     | \$181.57                         | \$213.85                      |
|                                       | Community Residence Supports                                 | D                                   | T2033 UA         | per diem     | \$291.88                         | \$344.44                      |
|                                       | Community Residence Supports                                 | E                                   | T2033 TG         | per diem     | \$328.11                         | \$386.50                      |
|                                       | Non-congregant Residential Supports                          | A                                   | T2016 U5         | per diem     | \$129.83                         | \$152.02                      |
|                                       | Non-congregant Residential Supports                          | B                                   | T2016 U6         | per diem     | \$129.83                         | \$152.02                      |
|                                       | Non-congregant Residential Supports                          | C                                   | T2016 U7         | per diem     | \$181.57                         | \$213.85                      |
|                                       | Non-congregant Residential Supports                          | D                                   | T2016 UA         | per diem     | \$291.88                         | \$344.44                      |
|                                       | Non-congregant Residential Supports                          | E                                   | T2016 TG         | per diem     | \$328.11                         | \$386.50                      |
|                                       | Shared Living Arrangements                                   | A                                   | T2033 U5 + U1    | per diem     | \$71.37                          | \$71.63                       |
|                                       | Shared Living Arrangements                                   | B                                   | T2033 U6 + U1    | per diem     | \$87.21                          | \$87.46                       |
|                                       | Shared Living Arrangements                                   | C                                   | T2033 U7 + U1    | per diem     | \$110.61                         | \$110.99                      |
|                                       | Shared Living Arrangements                                   | D                                   | T2033 UA + U1    | per diem     | \$132.66                         | \$133.04                      |
| Shared Living Arrangements            | E  | T2033 TG + U1                       | per diem         | \$132.66     | \$133.04                         |                               |
| Independent Living or Family Supports | Community-Based Supports (standard)                          | 1:1                                 | T2017            | 15 minutes   | \$7.10                           | \$8.37                        |
|                                       | Community-Based Supports (standard)                          | 1:2                                 | T2017 UN         | 15 minutes   | \$4.08                           | \$4.81                        |
|                                       | Community-Based Supports (standard)                          | 1:3                                 | T2017 UP         | 15 minutes   | \$2.84                           | \$3.35                        |
|                                       | Community-Based Supports (standard)                          | 1:4                                 | T2017 UQ         | 15 minutes   | \$2.22                           | \$2.61                        |
|                                       | Community-Based Supports (standard)                          | 1:5                                 | T2017 UR         | 15 minutes   | \$1.85                           | \$2.18                        |
|                                       | Community-Based Supports (standard)                          | 1:6                                 | T2017 US         | 15 minutes   | \$1.60                           | \$1.88                        |
|                                       | Community-Based Support Prof. Staff                          | 1:1                                 | T2017 UD         | 15 minutes   | \$13.13                          | \$13.13                       |
|                                       | Natural Supports Training (standard)                         | 1:1                                 | T2013            | per hour     | \$32.66                          | \$38.59                       |
|                                       | Natural Supports Training Prof. Staff                        | 1:1                                 | T2013 UD         | per hour     | \$47.72                          | \$47.72                       |
|                                       | Respite Care   | All                                 | T1005            | 15 minutes   | \$5.73                           | \$6.78                        |
|                                       | Respite Care (overnight)                                     | All                                 | T1005 NS         | 15 minutes   | \$4.12                           | \$5.09                        |
|                                       | Respite Care   | All                                 | S9125            | per diem     | \$206.28                         | \$244.08                      |
|                                       | Ind. Living Only   | Access to Overnight Shared Supports | All              | T2016 U8     | per diem                         | \$21.19                       |
| Transportation                        | Day Activity Transportation                                  | A,B,C                               | T2003            | per trip     | \$9.19                           | \$9.95                        |
|                                       | Day Activity Transportation                                  | D,E                                 | T2003 UA or TG   | per trip     | \$15.06                          | \$16.97                       |
| Prevocational Training                | Prevocational Training                                       | 1:6                                 | T2015 US         | per hour     | \$5.65                           | \$6.68                        |
|                                       | Prevocational Training                                       | 1:5                                 | T2015 UR         | per hour     | \$6.50                           | \$7.69                        |
|                                       | Prevocational Training                                       | 1:4                                 | T2015 UQ         | per hour     | \$7.81                           | \$9.24                        |
|                                       | Prevocational Training                                       | 1:3                                 | T2015 UP         | per hour     | \$9.99                           | \$11.81                       |
|                                       | Prevocational Training                                       | 1:2                                 | T2015 UN         | per hour     | \$14.37                          | \$16.99                       |
|                                       | Prevocational Training                                       | 1:1                                 | T2015            | per hour     | \$27.76                          | \$32.83                       |
| Employment Based                      | Job Development or Assessment                                | 1:1                                 | T2025 UD         | per hour     | \$60.18                          | \$60.18                       |
|                                       | Job Coaching   | 1:1                                 | T2019            | 15 minutes   | \$7.36                           | \$8.60                        |
|                                       | Job Coaching   | 1:2                                 | T2019 UN         | 15 minutes   | \$4.23                           | \$4.95                        |
|                                       | Job Coaching   | 1:3                                 | T2019 UP         | 15 minutes   | \$2.94                           | \$3.44                        |
|                                       | Job Coaching   | 1:4                                 | T2019 UQ         | 15 minutes   | \$2.30                           | \$2.69                        |
|                                       | Job Coaching   | 1:5                                 | T2019 UR         | 15 minutes   | \$1.91                           | \$2.24                        |
|                                       | Job Coaching   | 1:6                                 | T2019 US         | 15 minutes   | \$1.66                           | \$1.94                        |
|                                       | Job Retention  | A                                   | T2023 U5         | per month    | \$181.07                         | \$199.90                      |
|                                       | Job Retention  | B                                   | T2023 U6         | per month    | \$246.90                         | \$275.15                      |
|                                       | Job Retention  | C                                   | T2023 U7         | per month    | \$316.03                         | \$353.69                      |
|                                       | Job Retention  | D                                   | T2023 UA         | per month    | \$474.05                         | \$530.54                      |
| Job Retention                         | E  | T2023 TG                            | per month        | \$585.95     | \$661.27                         |                               |
| Day Program                           | Day Program (center-based)                                   | A                                   | T2021 U5         | 15 minutes   | \$1.13                           | \$1.26                        |
|                                       | Day Program (center-based)                                   | B                                   | T2021 U6         | 15 minutes   | \$1.28                           | \$1.45                        |
|                                       | Day Program (center-based)                                   | C                                   | T2021 U7         | 15 minutes   | \$1.77                           | \$2.03                        |
|                                       | Day Program (center-based)                                   | D                                   | T2021 UA         | 15 minutes   | \$2.64                           | \$3.07                        |
|                                       | Day Program (center-based)                                   | E                                   | T2021 TG         | 15 minutes   | \$7.06                           | \$8.35                        |
|                                       | Day Program (community-based)                                | A                                   | T2021 U5 U1      | 15 minutes   | \$1.53                           | \$1.79                        |
|                                       | Day Program (community-based)                                | B                                   | T2021 U6 U1      | 15 minutes   | \$1.53                           | \$1.79                        |
|                                       | Day Program (community-based)                                | C                                   | T2021 U7 U1      | 15 minutes   | \$3.47                           | \$4.11                        |
|                                       | Day Program (community-based)                                | D                                   | T2021 UA U1      | 15 minutes   | \$7.07                           | \$8.40                        |
|                                       | Day Program (community-based)                                | E                                   | T2021 TG U1      | 15 minutes   | \$7.07                           | \$8.40                        |
|                                       | Professional Supports while at Day Program                   | 1:1                                 | T2021 U8         | 15 minutes   | \$13.13                          | \$13.13                       |
|                                       | Day Program (home-based)                                     | A                                   | T2020 U5         | per diem     | \$24.01                          | \$27.86                       |
|                                       | Day Program (home-based)                                     | B                                   | T2020 U6         | per diem     | \$28.63                          | \$33.39                       |
|                                       | Day Program (home-based)                                     | C                                   | T2020 U7         | per diem     | \$42.77                          | \$50.33                       |
| Day Program (home-based)              | D  | T2020 UA                            | per diem         | \$90.30      | \$107.17                         |                               |
| Day Program (home-based)              | E  | T2020 TG                            | per diem         | \$171.50     | \$204.26                         |                               |
| Home Health Provider Services         | Attendant Care   | All                                 | S5125            | 15 minutes   | Medicaid rate                    | Medicaid rate                 |
|                                       | Homemaker Services   | All                                 | S5130            | 15 minutes   | Medicaid rate                    | Medicaid rate                 |
| Self-Directed Services                | Supports Brokerage, Self Directed Program                    | All                                 | T2041            | 15 minutes   | varies                           | varies                        |
|                                       | Self-Directed Goods or Services                              | All                                 | T2025 U2         | varies       | varies                           | varies                        |
| Other                                 | PERS, install and testing                                    | All                                 | S5160            | per service  | varies, PA req'd                 | varies, PA req'd              |
|                                       | PERS, service  | All                                 | S5161            | per service  | varies, PA req'd                 | varies, PA req'd              |
|                                       | PERS, purchase only  | All                                 | S5162            | per service  | varies, PA req'd                 | varies, PA req'd              |
|                                       | Home Modifications   | All                                 | S5165            | per service  | varies, PA req'd                 | varies, PA req'd              |
|                                       | Assistive Technology   | All                                 | T5999            | per service  | varies, PA req'd                 | varies, PA req'd              |

**Consent Decree Reports**  
**Data for Quarter Ending June 30, 2021\***  
**Report 1: Current Consent Decree Population**

Report Date: 6/30/2021



| <b>REPORT 1 - Current Census</b>                    | <b>Youth in Transition</b> | <b>Youth Exit</b> | <b>Sheltered Workshop</b> | <b>Day Program</b> | <b>Unduplicated Total</b> |
|---|----------------------------|-------------------|---------------------------|--------------------|---------------------------|
| Total Individuals                                   | 1,213                      | 582               | 844                       | 1,901              | 4,540                     |
| - Individuals deceased since January 2013           | 0                          | 12                | 136                       | 375                | 523                       |
| - Voluntarily left services                         | 0                          | 76                | 69                        | 95                 | 240                       |
| - Not Attending/Limited Services                    | 0                          | 9                 | 12                        | 55                 | 76                        |
| - Haven't Applied                                   | 0                          | 53                | 0                         | 0                  | 53                        |
| - Not Eligible or Rescinded Application             | 0                          | 9                 | 0                         | 0                  | 9                         |
| <b>Active Census for Current Quarter</b>            | <b>1,213</b>               | <b>423</b>        | <b>627</b>                | <b>1,376</b>       | <b>3,639</b>              |
| Population as a % of Census                         | 33.3%                      | 11.6%             | 17.2%                     | 37.8%              | 100.0%                    |
| - Individuals employed in 2012                      | 0                          | 0                 | 60                        | 157                | 217                       |
| - Individuals in transition                         | 1,213                      | 0                 | 0                         | 0                  | 1213                      |
| - Variance Requests                                 | 0                          | 6                 | 21                        | 53                 | 80                        |
| - Individuals age 62+ who want to be retired        | 0                          | 0                 | 100                       | 235                | 335                       |
| + Inactive individuals who gained employment        | 0                          | 22                | 21                        | 40                 | 83                        |
| <b>Target Population for Employment<sup>1</sup></b> | <b>0</b>                   | <b>439</b>        | <b>467</b>                | <b>971</b>         | <b>1,877</b>              |

**Census - Quarter to Quarter Changes**

| <b>Target Population Group</b> | <b>Q 6/30/20</b> | <b>Q 9/30/20</b> | <b>Q 12/31/20</b> | <b>Q 3/31/21</b> | <b>6/30/2021</b> |
|--------------------------------|------------------|------------------|-------------------|------------------|------------------|
| Youth in Transition            | 1,207            | 1,207            | 1,213             | 1,213            | 1,213            |
| Youth Exit                     | 410              | 414              | 419               | 420              | 423              |
| Sheltered Workshop             | 649              | 644              | 638               | 631              | 627              |
| Day Program                    | 1,426            | 1,417            | 1,412             | 1,389            | 1,376            |
| <b>Unduplicated Total</b>      | <b>3,692</b>     | <b>3,682</b>     | <b>3,682</b>      | <b>3,653</b>     | <b>3,639</b>     |

**Notes:**

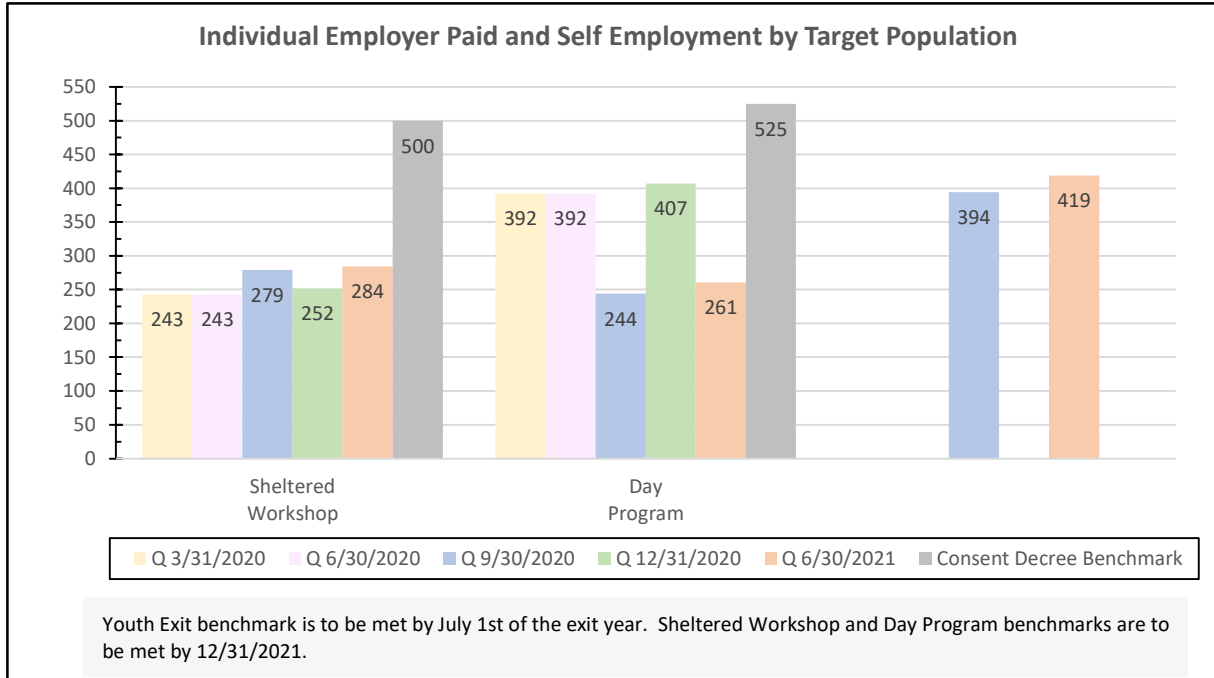
<sup>1</sup>The Target Population for Employment is tracked for reporting of newly obtained employment. Individuals employed in 2012 are excluded from the Consent Decree targets for new employment. All other reports are based on the Census for Current Quarter.

**\*Data Sources for Quarterly Report**

1. Sherlock Center survey completed October 2020
2. ORS cases through 6/30/2021
3. DDD caseload information as of 6/30/2021
4. RIDE annual census, June 2021
5. Integrated Community Employment Reporting Form submissions through 6/30/2021
6. State Wage information (SWICA) from the RI Department of Labor & Training as of 6/30/2021

**Consent Decree Data Reports**  
**Data for Quarter Ending June 30, 2021\***  
**Report 2: Consent Decree Target Population Employment**

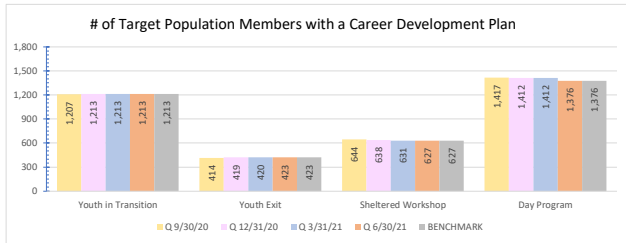
Report Date: 6/30/2021



| EMPLOYMENT BY TARGET POPULATION                                 | Youth Exit | Sheltered Workshop | Day Program | Unduplicated Total |
|---|------------|--------------------|-------------|--------------------|
| <b>Target Population for Employment</b>                         | <b>439</b> | <b>467</b>         | <b>971</b>  | <b>1,877</b>       |
| <i>% of Total Target Population</i>                             | 23%        | 25%                | 52%         | 100%               |
| <b>Benchmark for Employment</b>                                 | <b>439</b> | <b>500</b>         | <b>525</b>  | <b>1,464</b>       |
| <b>Total Individuals in INDIVIDUAL EMPLOYER PAID Employment</b> |            |                    |             |                    |
| <b>Q 6/30/2021</b>  | <b>284</b> | <b>261</b>         | <b>419</b>  | <b>964</b>         |
| <b>Total Competitive and Self Employment Percentages</b>        |            |                    |             |                    |
| <i>% of Benchmark</i>   | 64.7%      | 52.2%              | 79.8%       | 65.8%              |
| <i>% of Target Population Group</i>                             | 64.7%      | 55.9%              | 43.2%       | 51.4%              |
| <i>% of Those Employed</i>                                      | 29.5%      | 27.1%              | 43.5%       | 100.0%             |
| <b>Quarter to Quarter Performance</b>                           |            |                    |             |                    |
| <b>Q 6/30/2021</b>  | <b>284</b> | <b>261</b>         | <b>419</b>  | <b>964</b>         |
| Q 3/31/2021   | 284        | 260                | 417         | 961                |
| Q 12/31/2020  | 282        | 252                | 407         | 941                |
| Q 9/30/2020   | 279        | 244                | 394         | 917                |
| Q 6/30/2020   | 277        | 243                | 392         | 912                |
| Q 3/31/2020   | 277        | 243                | 392         | 912                |
| Q 12/31/2019  | 277        | 232                | 385         | 894                |
| Q 9/30/2019   | 269        | 230                | 381         | 880                |
| Q 6/30/2019   | 259        | 225                | 373         | 857                |
| Q 3/31/2019   | 257        | 217                | 364         | 838                |

Note: Includes Self-Employment.

**Consent Decree Data Reports**  
**Data for Quarter Ending June 30, 2021\***  
**Report 3a: Career Development Plans**  
 Report Date: 6/30/2021



**Career Development Plan (CDP) Exists**

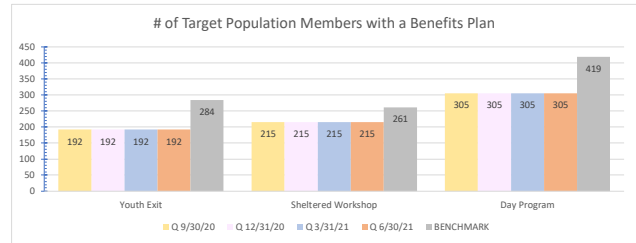
|                           | Q 9/30/20    | Q 12/31/20   | Q 3/31/21    | Q 6/30/21    | BENCHMARK    | % of Benchmark |
|---------------------------|--------------|--------------|--------------|--------------|--------------|----------------|
| Youth in Transition       | 1,207        | 1,213        | 1,213        | 1,213        | 1,213        | 100%           |
| Youth Exit                | 414          | 419          | 420          | 423          | 423          | 100%           |
| Sheltered Workshop        | 644          | 638          | 631          | 627          | 627          | 100%           |
| Day Program               | 1,417        | 1,412        | 1,412        | 1,376        | 1,376        | 100%           |
| <b>Unduplicated Total</b> | <b>3,682</b> | <b>3,682</b> | <b>3,676</b> | <b>3,639</b> | <b>3,639</b> | <b>100%</b>    |

Note:

**\*Data Sources for Quarterly Report**

1. Sherlock Center survey completed October 2020
2. ORS cases through 6/30/2021
3. DDD caseload information as of 6/30/2021
4. RIDE annual census, June 2021
5. Integrated Community Employment Reporting Form submissions through 6/30/2021
6. State Wage information (SWICA) from the RI Department of Labor & Training as of 6/30/2021

**Consent Decree Data Reports**  
**Data for Quarter Ending June 30, 2021\***  
**Report 3b: Benefit Plans**  
 Report Date: 6/30/2021



**Benefits Plan (BP) Exists**

|                           | Q 9/30/20  | Q 12/31/20 | Q 3/31/21  | Q 6/30/21  | BENCHMARK  | % of Benchmark |
|---------------------------|------------|------------|------------|------------|------------|----------------|
| Youth in Transition       | --         | --         | --         | --         | --         | --             |
| Youth Exit                | 192        | 192        | 192        | 192        | 284        | 68%            |
| Sheltered Workshop        | 215        | 215        | 215        | 215        | 261        | 82%            |
| Day Program               | 305        | 305        | 305        | 305        | 419        | 73%            |
| <b>Unduplicated Total</b> | <b>712</b> | <b>712</b> | <b>712</b> | <b>712</b> | <b>964</b> | <b>74%</b>     |
| <b>With Placements</b>    | <b>430</b> | <b>430</b> | <b>430</b> | <b>430</b> | <b>964</b> | <b>45%</b>     |
| <b>Declined</b>           | <b>67</b>  | <b>67</b>  | <b>67</b>  | <b>67</b>  | <b>964</b> | <b>7%</b>      |

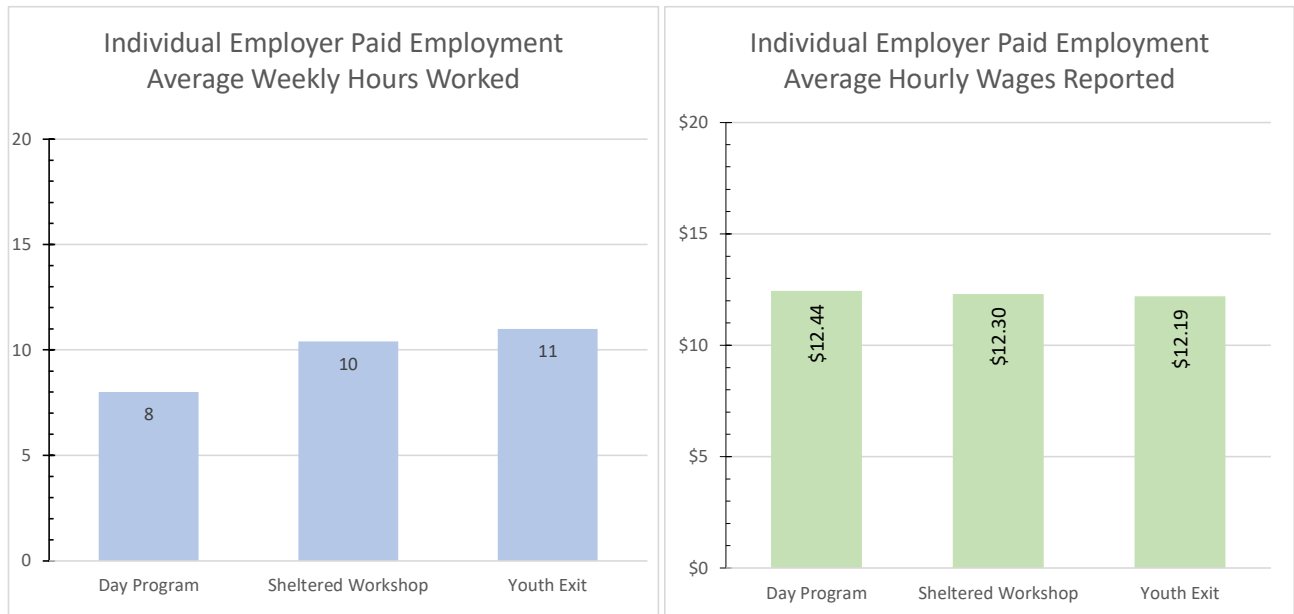
Note: Benefits Plans can be done for those not yet employed, in segregated employment, or in a competitive integrated job placement. The Unduplicated Total includes all those with a benefits plan. The With Placements total includes only those with a competitive integrated job placement.

**\*Data Sources for Quarterly Report**

1. Sherlock Center survey completed October 2020
2. ORS cases through 6/30/2021
3. DDD caseload information as of 6/30/2021
4. RIDE annual census, June 2021
5. Integrated Community Employment Reporting Form submissions through 6/30/2021
6. State Wage information (SWICA) from the RI Department of Labor & Training as of 6/30/2021

**Consent Decree Data Reports**  
**Data for Quarter Ending June 30, 2021\***  
**Report 4: Average Weekly Hours and Hourly Wages**

Report Date: 6/30/2021



| Individual Employer Paid Employment   |             |                  |                     |             |                  |                  |
|---------------------------------------|-------------|------------------|---------------------|-------------|------------------|------------------|
| Target Population Unduplicated Counts | Measure     | Total Population | Weekly Hours Worked | Hourly Wage | Worked 20+ Hours | % With 20+ Hours |
| Day Program                           | # Reporting | 419              |                     |             | 21               | 5.0%             |
|                                       | Average     |                  | 8                   | \$12.44     |                  |                  |
| Sheltered Workshop                    | # Reporting | 261              |                     |             | 23               | 8.8%             |
|                                       | Average     |                  | 10                  | \$12.30     |                  |                  |
| Youth Exit                            | # Reporting | 258              |                     |             | 24               | 9.3%             |
|                                       | Average     |                  | 11                  | \$12.19     |                  |                  |

**Notes:** # Reporting equals the count of individuals reporting hours or wages above minimum wage.

**\*Data Sources for Quarterly Report**

1. Sherlock Center survey completed October 2020
2. ORS cases through 6/30/2021
3. DDD caseload information as of 6/30/2021
4. RIDE annual census, June 2021
5. Integrated Community Employment Reporting Form submissions through 6/30/2021
6. State Wage information (SWICA) from the RI Department of Labor & Training as of 6/30/2021



**November 2021 Caseload Estimating Conference**  
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**Home Health Rate Increase Calculation**

| Row Labels     | 2018       |                | 2019       |                | 2020       |                | 2021       |                | Total Units Paid | Total Paid Amount |
|----------------|------------|----------------|------------|----------------|------------|----------------|------------|----------------|------------------|-------------------|
|                | Units Paid | Paid Amount    | Units Paid | Paid Amount    | Units Paid | Paid Amount    | Units Paid | Paid Amount    |                  |                   |
| S5125          | 26,705     | \$153,023.77   | 30,665     | \$200,728.21   | 34,724     | \$233,564.48   | 20,847     | \$131,416.18   | 112,941          | \$718,732.64      |
| S5125 U9       | 6,214      | \$39,272.48    | 4,608      | \$29,122.56    | 879        | \$5,555.28     | 250        | \$1,747.50     | 11,951           | \$75,697.82       |
| S5125 TV       | 13,914     | \$85,518.24    | 13,734     | \$94,721.20    | 10,581     | \$75,018.48    | 3,612      | \$25,551.75    | 41,841           | \$280,809.67      |
| S5125 TV U9    | 10,746     | \$68,182.40    | 3,088      | \$21,835.92    | 3,067      | \$22,372.44    | 820        | \$5,969.60     | 17,721           | \$118,360.36      |
| S5125 U1       | 85,804     | \$488,888.92   | 86,068     | \$545,539.28   | 89,300     | \$578,435.86   | 42,701     | \$271,913.75   | 303,873          | \$1,884,777.81    |
| S5125 U1 TV    | 40,334     | \$244,992.20   | 36,967     | \$249,098.11   | 31,610     | \$219,159.32   | 13,182     | \$90,937.77    | 122,093          | \$804,187.40      |
| S5125 U1 TV U9 | 44,384     | \$278,526.41   | 43,518     | \$302,759.86   | 40,572     | \$291,196.36   | 20,376     | \$145,937.03   | 148,850          | \$1,018,419.66    |
| S5125 U1 U9    | 89,067     | \$525,510.23   | 80,855     | \$532,029.33   | 74,334     | \$503,780.90   | 39,880     | \$289,559.61   | 284,136          | \$1,830,880.07    |
| S5125 U1 UH    | 73,965     | \$449,519.44   | 65,965     | \$443,739.02   | 68,042     | \$471,868.16   | 31,560     | \$217,844.49   | 239,532          | \$1,582,971.11    |
| S5125 U1 UH U9 | 76,276     | \$479,006.07   | 68,754     | \$477,642.54   | 59,437     | \$426,298.10   | 24,353     | \$174,524.22   | 228,820          | \$1,557,470.93    |
| S5125 U1 UJ    | 5,769      | \$35,191.06    | 13,876     | \$93,632.64    | 11,493     | \$79,949.80    | 2,003      | \$13,888.47    | 33,141           | \$222,661.97      |
| S5125 U1 UJ U9 | 4,862      | \$30,178.70    | 3,952      | \$27,257.60    | 1,358      | \$9,694.15     | 464        | \$3,304.08     | 10,636           | \$70,434.53       |
| S5125 U9       | 21,910     | \$129,790.06   | 11,939     | \$80,524.87    | 7,997      | \$55,457.63    | 3,133      | \$21,681.63    | 44,979           | \$287,454.19      |
| S5125 UH       | 16,715     | \$102,187.59   | 16,747     | \$115,553.30   | 13,704     | \$97,360.56    | 5,924      | \$41,994.68    | 53,090           | \$357,096.13      |
| S5125 UH U9    | 24,983     | \$159,474.00   | 11,290     | \$80,545.82    | 11,980     | \$88,049.66    | 3,985      | \$29,335.52    | 52,238           | \$357,405.00      |
| S5125 UJ       | 1,674      | \$10,326.50    | 2,003      | \$13,900.82    | 1,164      | \$8,322.60     | 105        | \$750.75       | 4,946            | \$33,300.67       |
| S5125 UJ U9    | 1,262      | \$7,843.86     | 1,226      | \$8,814.94     | 887        | \$6,563.80     | 197        | \$1,457.80     | 3,572            | \$24,680.40       |
| S5130          | 13,001     | \$64,652.48    | 9,391      | \$51,955.98    | 9,644      | \$55,293.06    | 3,199      | \$18,426.24    | 35,235           | \$190,327.76      |
| S5130 I9       |            |                |            |                | 204        | \$2,764.20     | 1,756      | \$23,793.80    | 1,960            | \$26,558.00       |
| S5130 TE       | 72,255     | \$560,417.92   | 59,927     | \$727,337.62   | 54,063     | \$731,041.65   | 23,725     | \$321,473.75   | 209,970          | \$2,340,270.94    |
| Grand Total    | 629,840    | \$3,912,502.33 | 564,573    | \$4,096,739.62 | 525,040    | \$3,961,746.49 | 242,072    | \$1,811,508.62 | 1,961,524        | \$13,782,497.06   |

| Increase     | 3.20%        | 3.40%        | 3.40%        |
|--------------|--------------|--------------|--------------|
| FY 2019      | FY 2020      | FY 2021      | FY 2022      |
| 4,096,739.62 | 4,227,835.29 | 4,371,581.69 | 4,520,215.47 |
|              |              | 189,044.5371 | 40,410.76    |
|              |              |              | 148,633.78   |

|                      | ROUND      |            |
|----------------------|------------|------------|
| Total                | 148,633.78 |            |
| GR                   | 62,753.18  | 62,753.00  |
| Fed                  | 81,272.95  | 81,273.00  |
| 46 Account           | 4,607.65   | 4,608.00   |
| Check                | 148,633.78 | 148,634.00 |
| FMAP-GR              | 0.4222     | 0.4222     |
| FMAP-FF              | 0.5468     | 0.5468     |
| FMAP - enhanced FMAP | 0.031      | 0.031      |

**November 2021 Caseload Estimating Conference**

Questions for the Executive Office of Health and Human Services, the Department of Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals

**Enacted vs Actuals**

|                 | <b>2019</b>   | <b>2020</b>   | <b>2021</b>   |
|-----------------|---------------|---------------|---------------|
| <b>Enacted</b>  | \$229,404,328 | \$259,714,537 | \$260,389,307 |
| <b>Actuals</b>  | \$231,567,259 | \$246,300,251 | \$229,068,817 |
| <b>Variance</b> | -\$2,162,931  | \$13,414,286  | \$31,320,490  |

**FY 2021 Closing Information**

|  | <b>FY 2021</b>        |                    |                 |
|--|-----------------------|--------------------|-----------------|
|  | <b>Enacted Budget</b> | <b>Actuals</b>     | <b>Variance</b> |
| <b>Package Services</b>  | 217,315,537           | 211,582,035        | 5,733,502       |
| <b>Supplemental Funding (L9s)</b>  | 15,295,348            | 15,807,055         | (511,707)       |
| <b>Special Services (high need out of state clients)-Hab/Rehab Svs 2490101 100% State. Match issue being research with Medicaid and set up single case agreements so now being matched</b> | 1,007,556             | NA                 | 1,007,556       |
| <b>RIPTA - charged 100% to State in FY 20. Match issue being researched with Medicaid</b>  | 1,624,243             | 243,781            | 1,380,462       |
| <b>PCSEPP 3.0 Perf Payments non-claims based 100% state now paid out with PO for each vendor and they get monthly payment when send in invoice</b>   |                       | 1,306,039          | (1,306,039)     |
| <b>Health Home</b>   | 19,838,815            | NA                 | 19,838,815      |
| <b>Subsidies (2490101.651250)</b>  | 129,907               | 129,907            | -               |
| <b>Grand Total</b>   | <b>255,211,406</b>    | <b>229,068,817</b> |                 |

**FY 2022 Enacted - Proposed Estimation**

|  | <b>Proposed Estimated Enacted</b> | <b>BHDDH Table document - Tab 1 line number</b> |
|--|-----------------------------------|---|
| <b>Supplemental Funding (L9s)</b>  | \$15,242,089                      | 39  |
| <b>Special Services (high need out of state clients)-Hab/Rehab Svs 2490101 100% State. Match issue being research with Medicaid and set up single case agreements so now being matched</b> | 2,000,000                         | 42  |
| <b>RIPTA - charged 100% to State in FY 20. Match issue being researched with Medicaid</b>  | 1,000,000                         | 31  |
| <b>Total PSCEPP 3.0 100% now (\$6.8M Placeholder)</b>  | 2,541,384                         | 28  |
| <b>DD State Subsidies</b>  | 100,000                           | 41  |
| <b>Package Services</b>  | 261,484,535                       | All remaining                                   |
| <b>Total</b>   | <b>\$282,368,008</b>              |   |

**November 2021 Caseload Estimating Conference**

Questions for the Executive Office of Health and Human Services,  
the Department of Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals

**Estimated Authorization Dollars - FY 2022**

| Quarter     | Authorized Dollars |
|-------------|--------------------|
| FY22_Q1     | \$89,392,000       |
| FY22_Q2     | \$56,852,000       |
| FY22_Q3     | \$56,852,000       |
| FY22_Q4     | \$56,852,000       |
| Grand Total | \$259,945,000      |

November 2021 Caseload Estimating Conference

Questions for the Executive Office of Health and Human Services, the Department of Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals

**Estimated Expenditures - by Tier and Living Arrangement**

| Monthly Expenditures |  | Tier    | Expenditures   |                | Individuals |      |
|----------------------|--|---------|----------------|----------------|-------------|------|
| L9                   | Main Service Category                                |         | 2022           | 2023           | 2022        | 2023 |
| Non-L9               | Case Management and All Other Support Services       | A       | \$ 906,000     | \$ 930,000     | 539         | 549  |
| Non-L9               | Case Management and All Other Support Services       | B       | \$ 1,706,000   | \$ 1,802,000   | 812         | 826  |
| Non-L9               | Case Management and All Other Support Services       | C       | \$ 3,638,000   | \$ 3,795,000   | 1208        | 1229 |
| Non-L9               | Case Management and All Other Support Services       | D       | \$ 3,530,000   | \$ 3,953,000   | 479         | 488  |
| Non-L9               | Case Management and All Other Support Services       | E       | \$ 2,060,000   | \$ 2,156,000   | 613         | 624  |
| Non-L9               | Case Management and All Other Support Services       | Unknown | \$ 16,000      | \$ 9,000       | 0           | 0    |
| Non-L9               | Case Management and All Other Support Services Total |         | \$ 11,857,000  | \$ 12,646,000  | 3651        | 3715 |
| Non-L9               | Day Program  | A       | \$ 2,360,000   | \$ 2,683,000   | 372         | 379  |
| Non-L9               | Day Program  | B       | \$ 5,862,000   | \$ 6,617,000   | 662         | 674  |
| Non-L9               | Day Program  | C       | \$ 19,595,000  | \$ 21,323,000  | 1110        | 1130 |
| Non-L9               | Day Program  | D       | \$ 14,098,000  | \$ 14,637,000  | 429         | 437  |
| Non-L9               | Day Program  | E       | \$ 25,535,000  | \$ 26,812,000  | 587         | 597  |
| Non-L9               | Day Program  | Unknown | \$ 5,000       | \$ -           | 0           | 0    |
| Non-L9               | Day Program Total                                    |         | \$ 67,455,000  | \$ 72,072,000  | 3160        | 3216 |
| Non-L9               | Employment   | A       | \$ 1,114,000   | \$ 1,271,000   | 253         | 258  |
| Non-L9               | Employment   | B       | \$ 1,649,000   | \$ 1,991,000   | 333         | 339  |
| Non-L9               | Employment   | C       | \$ 1,636,000   | \$ 1,987,000   | 284         | 289  |
| Non-L9               | Employment   | D       | \$ 512,000     | \$ 662,000     | 63          | 64   |
| Non-L9               | Employment   | E       | \$ 808,000     | \$ 1,019,000   | 131         | 133  |
| Non-L9               | Employment   | Unknown | \$ 13,000      | \$ -           | 0           | 0    |
| Non-L9               | Employment Total                                     |         | \$ 5,732,000   | \$ 6,930,000   | 1064        | 1083 |
| Non-L9               | Residential Habilitation and Supports                | A       | \$ 3,811,000   | \$ 4,046,000   | 351         | 357  |
| Non-L9               | Residential Habilitation and Supports                | B       | \$ 10,460,000  | \$ 11,043,000  | 605         | 615  |
| Non-L9               | Residential Habilitation and Supports                | C       | \$ 41,752,000  | \$ 43,560,000  | 1022        | 1040 |
| Non-L9               | Residential Habilitation and Supports                | D       | \$ 32,555,000  | \$ 33,752,000  | 401         | 408  |
| Non-L9               | Residential Habilitation and Supports                | E       | \$ 57,980,000  | \$ 58,602,000  | 571         | 581  |
| Non-L9               | Residential Habilitation and Supports                | Unknown | \$ 5,000       | \$ -           | 0           | 0    |
| Non-L9               | Residential Habilitation and Supports Total          |         | \$ 146,563,000 | \$ 151,003,000 | 2949        | 3001 |
| Non-L9               | Shared Living Arrangement                            | A       | \$ 1,135,000   | \$ 1,149,000   | 81          | 82   |
| Non-L9               | Shared Living Arrangement                            | B       | \$ 3,227,000   | \$ 3,346,000   | 141         | 143  |
| Non-L9               | Shared Living Arrangement                            | C       | \$ 6,407,000   | \$ 6,447,000   | 177         | 180  |
| Non-L9               | Shared Living Arrangement                            | D       | \$ 1,494,000   | \$ 1,549,000   | 35          | 35   |
| Non-L9               | Shared Living Arrangement                            | E       | \$ 3,756,000   | \$ 3,950,000   | 86          | 87   |
| Non-L9               | Shared Living Arrangement Total                      |         | \$ 16,019,000  | \$ 16,441,000  | 519         | 528  |
| Non-L9               | Transportation                                       | A       | \$ 539,000     | \$ 655,000     | 249         | 254  |
| Non-L9               | Transportation                                       | B       | \$ 1,150,000   | \$ 1,431,000   | 433         | 441  |
| Non-L9               | Transportation                                       | C       | \$ 2,302,000   | \$ 2,866,000   | 734         | 747  |
| Non-L9               | Transportation                                       | D       | \$ 1,217,000   | \$ 1,515,000   | 242         | 247  |
| Non-L9               | Transportation                                       | E       | \$ 2,611,000   | \$ 3,091,000   | 432         | 440  |
| Non-L9               | Transportation Total                                 |         | \$ 7,819,000   | \$ 9,558,000   | 2092        | 2129 |
| Non-L9 Total         |  |         | \$ 255,445,000 | \$ 268,650,000 |             |      |
| L9                   | Case Management and All Other Support Services       | A       | \$ 5,000       | \$ 3,000       | 2           | 2    |
| L9                   | Case Management and All Other Support Services       | B       | \$ 14,000      | \$ 15,000      | 21          | 22   |
| L9                   | Case Management and All Other Support Services       | C       | \$ 83,000      | \$ 59,000      | 67          | 69   |
| L9                   | Case Management and All Other Support Services       | D       | \$ 154,000     | \$ 68,000      | 2           | 2    |
| L9                   | Case Management and All Other Support Services       | E       | \$ 7,000       | \$ 2,000       | 2           | 2    |
| L9                   | Case Management and All Other Support Services Total |         | \$ 263,000     | \$ 147,000     | 94          | 95   |
| L9                   | Day Program  | A       | \$ 109,000     | \$ 96,000      | 4           | 4    |
| L9                   | Day Program  | B       | \$ 309,000     | \$ 297,000     | 17          | 17   |
| L9                   | Day Program  | C       | \$ 1,490,000   | \$ 1,636,000   | 82          | 84   |
| L9                   | Day Program  | D       | \$ 394,000     | \$ 517,000     | 17          | 17   |
| L9                   | Day Program  | E       | \$ 929,000     | \$ 922,000     | 35          | 35   |
| L9                   | Day Program Total                                    |         | \$ 3,231,000   | \$ 3,468,000   | 155         | 157  |
| L9                   | Employment   | A       | \$ 177,000     | \$ 216,000     | 3           | 3    |
| L9                   | Employment   | B       | \$ 762,000     | \$ 701,000     | 29          | 29   |
| L9                   | Employment   | C       | \$ 3,367,000   | \$ 3,355,000   | 75          | 76   |
| L9                   | Employment   | D       | \$ 378,000     | \$ 407,000     | 13          | 13   |
| L9                   | Employment   | E       | \$ 4,743,000   | \$ 4,421,000   | 56          | 57   |
| L9                   | Employment Total                                     |         | \$ 9,427,000   | \$ 9,100,000   | 176         | 179  |
| L9                   | Residential Habilitation and Supports                | A       | \$ 185,000     | \$ 181,000     | 7           | 7    |
| L9                   | Residential Habilitation and Supports                | B       | \$ 323,000     | \$ 327,000     | 19          | 19   |
| L9                   | Residential Habilitation and Supports                | C       | \$ 725,000     | \$ 723,000     | 33          | 34   |
| L9                   | Residential Habilitation and Supports                | D       | \$ 957,000     | \$ 1,018,000   | 20          | 21   |
| L9                   | Residential Habilitation and Supports                | E       | \$ 424,000     | \$ 433,000     | 8           | 8    |
| L9                   | Residential Habilitation and Supports Total          |         | \$ 2,614,000   | \$ 2,682,000   | 86          | 88   |
| L9                   | Transportation                                       | A       | \$ 4,000       | \$ 4,000       | 2           | 2    |
| L9                   | Transportation                                       | B       | \$ 14,000      | \$ 17,000      | 7           | 7    |
| L9                   | Transportation                                       | C       | \$ 108,000     | \$ 117,000     | 51          | 52   |
| L9                   | Transportation                                       | D       | \$ 2,000       | \$ 3,000       | 1           | 1    |
| L9                   | Transportation                                       | E       | \$ 5,000       | \$ 6,000       | 2           | 2    |
| L9                   | Transportation Total                                 |         | \$ 133,000     | \$ 147,000     | 61          | 62   |
| L9 Total             |  |         | \$ 15,668,000  | \$ 15,544,000  | 571         | 581  |
| Grand Total          |  |         | \$ 271,113,000 | \$ 284,194,000 | 4061        | 4133 |

## November 2021 Caseload Estimating Conference

Questions for the Executive Office of Health and Human Services,  
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### Summary of Financial Transaction for DD Clients authorized by BHDDH on September 1st 2021

#### Shared Living (Rite @ Home) Waiver Group

| Row Labels                       | Column Labels | 2020     | 2021     | 2022     |
|----------------------------------|---------------|----------|----------|----------|
| <b>Shared Living</b>             |               |          |          |          |
| Full-Time Equivalent Eligibility |               | 20       | 19       | 19       |
| EOHHS PMPM                       | \$            | 3,640.78 | 3,604.60 | 4,823.87 |
| EOHHS FFS PMPM                   | \$            | 2,147.90 | 2,376.31 | 3,444.87 |
| EOHHS Managed Care PMPM          | \$            | 1,492.88 | 1,228.29 | 1,379.00 |
| BHDDH PMPM                       | \$            | 1,390.15 | 662.21   | 815.73   |
| Overall PMPM                     | \$            | 5,030.92 | 4,266.81 | 5,639.60 |
| <b>Personal Choice</b>           |               |          |          |          |
| Full-Time Equivalent Eligibility |               | 1        | 2        | 3        |
| EOHHS PMPM                       | \$            | 4,606.39 | 3,811.87 | 4,757.28 |
| EOHHS FFS PMPM                   | \$            | 4,596.84 | 2,605.48 | 3,031.46 |
| EOHHS Managed Care PMPM          | \$            | 9.55     | 1,206.38 | 1,725.82 |
| BHDDH PMPM                       | \$            | 1,276.45 | 985.96   | 2,883.90 |
| Overall PMPM                     | \$            | 5,970.22 | 4,797.83 | 7,641.18 |

#### Notes:

Table above reflects a look back of all financial transaction (on a paid basis) for DD clients authorized by BHDDH on September 1, 2021. Members not active as of that date are excluded from the totals.

Results limited to DD clients with a Shared Living or Personal Choice waiver (no DD clients as of the snapshot month are enrolled in the IP program)

Any historical transactions are excluded for months in which the client was under age of 21.

Note that claims are FFS claims paid in arrears with some lag (i.e., the July 2021 FFS PMPM be attributed to prior months activity).

Conversely, managed care payments are prospective and subject to manual revisions for changes in PMPM.

#### Forecast of EOHHS Expenditures for DD Clients with Shared Living / Personal Choice Waiver; FY 2022/2023

##### Shared Living (Rite @ Home) and Personal Choice Only

FY 2022 PMPM baseline based on financial transactions between July 1, 2021 and September 30, 2021

| SFY                           | 2022                                    |                       |                       |  |
|-------------------------------|---|-----------------------|-----------------------|--|
| <b>Row Labels</b>             | <b>Full-Time Equivalent Eligibility</b> | <b>EOHHS FFS PMPM</b> | <b>EOHHS MCO PMPM</b> |  |
| <b>Shared Living</b>          | <b>19</b>                               | <b>\$ 3,444.87</b>    | <b>\$ 1,379.00</b>    |  |
| Caseload Factor [1]           | 1.4%                                    | 1.9%                  |                       |  |
| Managed Care Price Factor [2] | 5.00%                                   | 5.00%                 |                       |  |
| FFS Price Factor              | 0%                                      | 0%                    |                       |  |

#### Forecast of EOHHS Spending by Waiver Type

|                             | FY 2022             | FY 2023             |
|-----------------------------|---------------------|---------------------|
| Shared Living Waiver        | \$ 1,107,679        | \$ 1,159,110        |
| Personal Choice Waiver      | \$ 172,482          | \$ 181,873          |
| <b>Total</b>                | <b>\$ 1,280,161</b> | <b>\$ 1,340,983</b> |
| Caseload                    | 22                  | 23                  |
| <b>Composite EOHHS PMPM</b> | <b>\$ 4,780.97</b>  | <b>\$ 4,914.73</b>  |

Note 1. BHDDH estimates 6 net new authorizations per month for effective annual growth rate of 2.0%. Applied to 3/4 of year in FY 2022. As a further simplification, result divided by half to get the mid-point caseload.

Note 2. Although FY2022 reflects Q1 of managed care payments, the rates had not yet updated in the system. 5.0% approximates composite rate increase across products.

Note 3. EOHHS PMPM does not include Special Education.

#### Summary of Financial Transaction for DD Clients authorized by BHDDH on September 1st 2021

##### Expenditures for Members Assigned to Shared Living Waiver Group By Agency and Provider Type

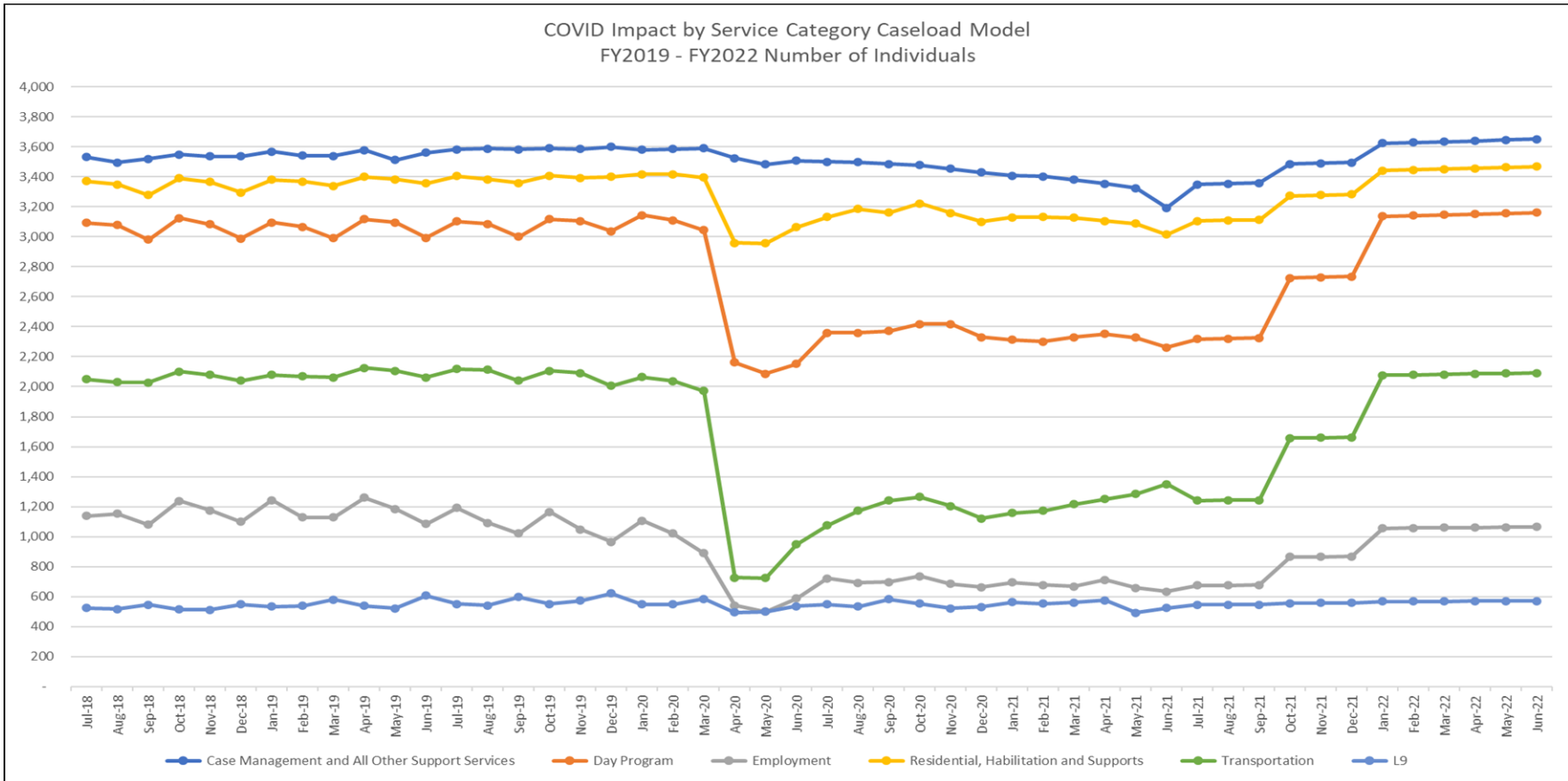
| Sum of PAID                       | Column Labels | 2020            | 2021             | 2022            |
|-----------------------------------|---------------|-----------------|------------------|-----------------|
| <b>Row Labels</b>                 |               |                 |                  |                 |
| <b>BHDDH</b>                      |               | <b>\$15,317</b> | <b>\$27,607</b>  | <b>\$25,955</b> |
| <b>FFS Claims</b>                 |               | <b>\$15,317</b> | <b>\$27,607</b>  | <b>\$25,955</b> |
| BHDDH DD Agencies                 |               | \$15,317        | \$28,962         | \$25,955        |
| Personal Care Aide/Assistant      |               |                 | -\$1,355         |                 |
| <b>EOHHS</b>                      |               | <b>\$55,277</b> | <b>\$106,732</b> | <b>\$42,816</b> |
| <b>FFS Claims</b>                 |               | <b>\$55,162</b> | <b>\$72,954</b>  | <b>\$27,283</b> |
| Self Directed Community Service   |               | \$49,980        | \$58,169         | \$22,513        |
| DME Supplier/Prosthetics/Ortho    |               | \$2,405         | \$3,127          | \$3,959         |
| Inpatient Facility                |               |                 | \$5,802          |                 |
| Personal Choice/Hab Case Manag    |               | \$1,500         | \$2,825          | \$625           |
| Personal Care Aide/Assistant      |               |                 | \$1,476          |                 |
| Independent Pharmacy              |               | \$364           | \$399            | \$22            |
| Physician                         |               | \$492           | \$204            | \$53            |
| Outpatient Facility               |               | \$421           | \$196            |                 |
| BHDDH DD Agencies                 |               |                 | \$363            |                 |
| Dentist                           |               |                 | \$228            | \$112           |
| Federally Qualified Health Center |               |                 | \$164            |                 |
| <b>Capitation Payments</b>        |               | <b>\$115</b>    | <b>\$33,779</b>  | <b>\$15,532</b> |
| <b>Grand Total</b>                |               | <b>\$70,594</b> | <b>\$134,339</b> | <b>\$68,771</b> |

# November 2021 Caseload Estimating Conference

Questions for the Executive Office of Health and Human Services,

the Department of Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals

## **COVID impact - Individuals Served**

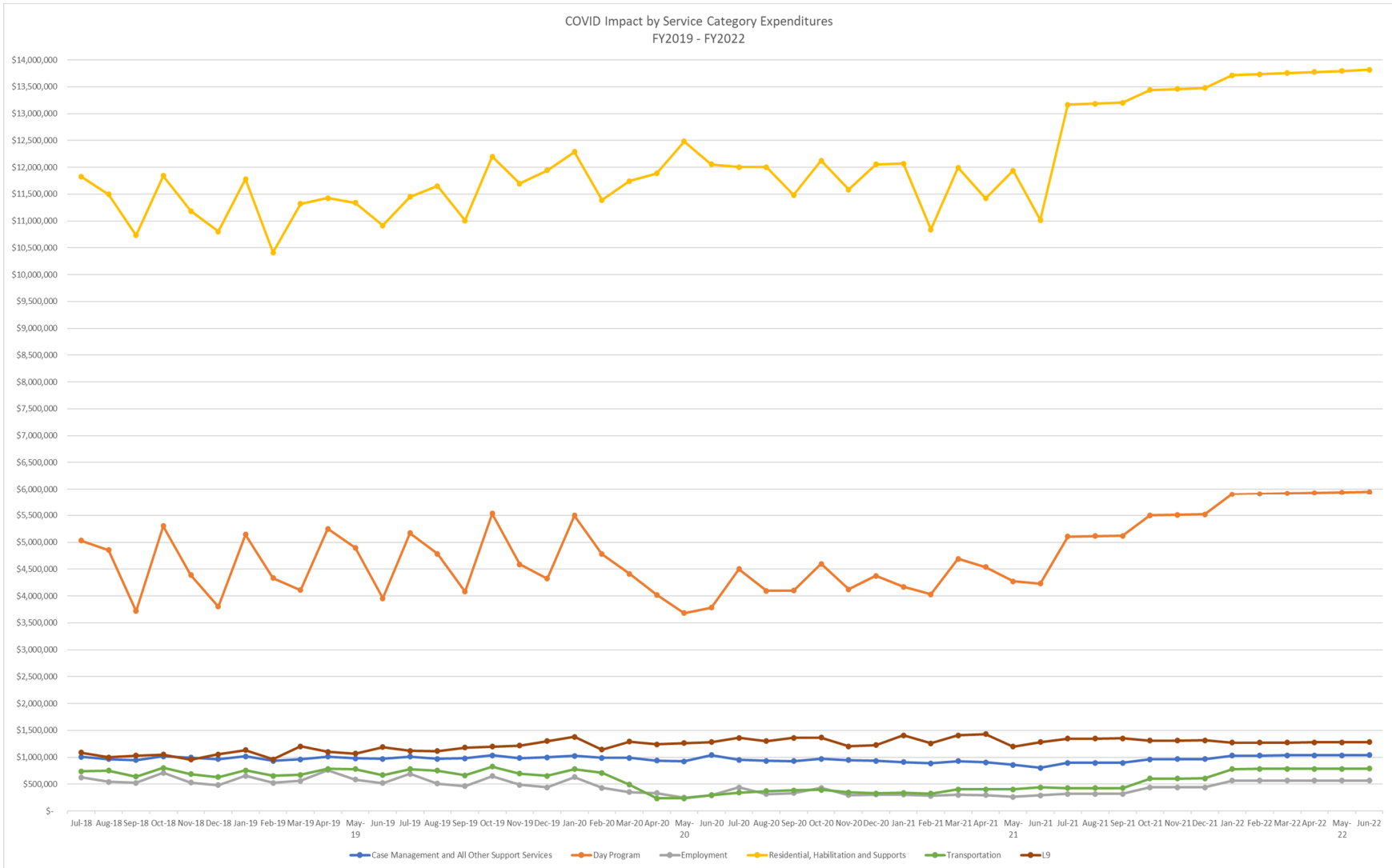


# November 2021 Caseload Estimating Conference

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## COVID impact - Expenditures



## November 2021 Caseload Estimating Conference

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### **Projection Methodology**

The caseload estimate process for the DD population utilizes a pre-covid, and post-covid experience, along with a caseload growth factor and pertinent rate increases to project caseload and expenditures for FY 2022 and FY 2023. There are two separate models, one for the caseload which strictly utilizes the caseload growth (section A below) for the model, while the expenditure projection model utilizes the following methodology:

- Using the COVID/Non-COVID Factor times the estimated caseload growth times the pertinent current rate for the FY. Determining COVID/Non-COVID factors (section A) and Caseload Growth (section B).

**A. Determining COVID/Non-Covid Factors** *(For Illustrative purposes, Day Program will be used as an example to show the methodology going forward.) See Figure 2.*

The methodology was to utilize the actual caseload and expenditures, and understand the basis between the pre-covid, covid, and estimate what could happen in a post-covid experience. For these measures, periods for each of these was determined, and then the average amounts for both caseload and expenditures were calculated for their models within these periods to give a basis for our projections. The caseload and expenditures each have their own model.

- Step 1 – identify the pre-covid experience. To determine this, the rate increase in October 2019 would need to be accounted for in the model. For ease of projecting, it made sense to utilize October to the COVID period (March 2020). Upon reviewing the data, December 2019 was not consistent with the other months in the selection, so it was excluded as part of the baseline for the pre-COVID experience.
- Step 2 – Identify the most “current” period for covid experience and the wind-down phase. The most logical choice, due to claims lag was the last half of FY 2021(Jan 2021 – June 2021). This period was used for our Q1 FY2022 projections
- Step 3 – Identify a “moderate growth” phase which would bridge the gap from Covid levels to post-Covid levels, which was identified as January 2022 (which follows the methodology by EOHHS for their NH program). This period is approximately the median between the first and second measures above. This was used for Q2 FY2022’s projections.

**Figure 2:** Basis for Pre-Covid, Covid, and projection measures for the Day Program category only. This screenshot shows the averages for the time periods listed for those specific service categories.



## November 2021 Caseload Estimating Conference

Questions for the Executive Office of Health and Human Services,

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### Projection Methodology

| Main Service Category | Service Category                             | Pre-COVID Basis Oct19-Mar20 (No Dec19) | COVID(Jan21-Jun21) | Q2 FY 2022 |
|-----------------------|--|--|--------------------|------------|
| Day Program           | Day Program (center-based) @ comm based rate | 1,809                                  | 200                | 934        |
| Day Program           | Day Program (community based)                | 2,857                                  | 2,039              | 2,446      |
| Day Program           | Day Program (Home-Based)                     | 173                                    | 82                 | 127        |
| Day Program           | Professional Supports in Day Program         | 1,543                                  | 1,023              | 1,263      |
| Day Program Total     |  | 3,104                                  | 2,314              | 2,709      |

Figure 3: FY2022 Caseload projection for Day Program showing timeline of measures

| Main Service Category | Service Category                             | Measure 2 (Covid) |        |        | Measure 3 (Moderate Growth) |        |        | FY2022 Forecast |        |        |        |        |        |        |        |        |        |        |        | Measure 1 (Pre-Covid) |        |        |        |        |        |       |
|-----------------------|--|-------------------|--------|--------|-----------------------------|--------|--------|-----------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-----------------------|--------|--------|--------|--------|--------|-------|
|                       |  | 2022              | 2022   | 2022   | 2022                        | 2022   | 2022   | 2022            | 2022   | 2022   | 2022   | 2022   | 2022   | 2022   | 2022   | 2022   | 2022   | 2022   | 2022   | 2022                  | 2022   | 2022   |        |        |        |       |
|                       |  | Jul-22            | Aug-22 | Sep-22 | Oct-22                      | Nov-22 | Dec-22 | Jan-23          | Feb-23 | Mar-23 | Apr-23 | May-23 | Jun-23 | Jul-23 | Aug-23 | Sep-23 | Oct-23 | Nov-23 | Dec-23 | Jan-24                | Feb-24 | Mar-24 | Apr-24 | May-24 | Jun-24 |       |
| Day Program           | Day Program (center-based) @ comm based rate | 201               | 201    | 201    | 140                         | 140    | 140    | 140             | 140    | 140    | 140    | 140    | 140    | 140    | 140    | 140    | 140    | 140    | 140    | 140                   | 140    | 140    | 140    | 140    | 140    | 140   |
| Day Program           | Day Program (community based)                | 2,042             | 2,042  | 2,042  | 2,403                       | 2,403  | 2,403  | 2,403           | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403                 | 2,403  | 2,403  | 2,403  | 2,403  | 2,403  | 2,403 |
| Day Program           | Day Program (Home-Based)                     | 82                | 82     | 82     | 126                         | 126    | 126    | 126             | 126    | 126    | 126    | 126    | 126    | 126    | 126    | 126    | 126    | 126    | 126    | 126                   | 126    | 126    | 126    | 126    | 126    | 126   |
| Day Program           | Professional Supports in Day Program         | 1,023             | 1,023  | 1,023  | 1,261                       | 1,261  | 1,261  | 1,261           | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261                 | 1,261  | 1,261  | 1,261  | 1,261  | 1,261  | 1,261 |
| Day Program Total     |  | 2,317             | 2,321  | 2,324  | 2,725                       | 2,728  | 2,734  | 2,734           | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734                 | 2,734  | 2,734  | 2,734  | 2,734  | 2,734  | 2,734 |

### B. Caseload growth

- Caseload growth is determined by several factors, which is used to estimate the projections for caseload for FY22 and FY23. The initial factor determined the average OVERALL net caseload growth over the period of FY18 – FY21, which is 6 net cases or 72 net cases within a fiscal year period (Figure 1A). Using the caseload growth and converting it into an annual growth rate of 1.8%, which was spread across the 12 months evenly, at 0.15% (Figure B). This growth rate is applied to both FY22 and FY23 and is used throughout the methodology moving forward.

Figure 1A: Average Caseload Net Growth

| Caseload Individual Count       | 2018   | 2019   | 2020   | 2021   |        |        |        |        |        |        |        |        |        |        |        |
|---------------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Month                           | Jun-18 | Jun-19 | Jun-20 | Jul-20 | Aug-20 | Sep-20 | Oct-20 | Nov-20 | Dec-20 | Jan-21 | Feb-21 | Mar-21 | Apr-21 | May-21 | Jun-21 |
| Overall Caseload                | 3771   | 3838   | 3820   | 3897   | 3893   | 3895   | 3898   | 3897   | 3939   | 3950   | 3928   | 3939   | 3943   | 3978   | 3989   |
| Change +/-                      |        | 35     | 12     | 7      | 77     | -4     | 2      | 3      | -1     | 42     | -9     | -2     | 11     | 4      | 35     |
| Average Monthly Case Net Growth |        |        |        |        |        |        |        |        |        |        |        |        |        |        | 6      |

Figure 1B: Growth Rates

| Year          | Beg Caseload (End of FY) | Net Annual Caseload Growth Applied | Annual Growth Rate | Monthly Growth Rate |
|---------------|--------------------------|------------------------------------|--------------------|---------------------|
| FY21 Actual   | 3989                     | 72                                 | 1.8%               | 0.15%               |
| FY22 Estimate | 4061                     | 72                                 | 1.8%               | 0.15%               |
| FY23 Estimate | 4133                     | 72                                 |                    |                     |

### C. Summary of formulae

- To summarize the overall methodology from the factors listed above, we took the identified COVID/Pre-COVID periods in Section A, multiplied the period amounts by the compounding Caseload Growth Rate in Section B, then multiplying the amount by the pertinent rate increase if applicable to the service category. These calculations were done for both caseload and expenditures, utilizing the same methodology, whereas the only difference was in caseload, the rate increase played no role in determining our projections.

**November 2021 Caseload Estimating Conference**

Questions for the Executive Office of Health and Human Services,  
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**Authorization vs Actual - FY 2019-2021**

| FY   | Authorized    | Expenditures  | % Spent |
|------|---------------|---------------|---------|
| 2019 | \$325,030,424 | \$230,092,558 | 70.8%   |
| 2020 | \$298,057,983 | \$235,748,021 | 79.1%   |
| 2021 | \$318,694,120 | \$227,389,090 | 71.4%   |